

Formation of Organogel in situ Based on a Dynamic Imine Bond

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1 A simple approach for creating organogel in situ
2 through formation of a reversible imine bond known as a
3 dynamic covalent bond is described. As the condensations
4 of the glutamate-based amine compounds and
5 salicylaldehyde or 2-hydroxy-1-naphthaldehyde in alcohols
6 such as MeOH, EtOH and propanol as well as DMF proceed,
7 gelation occurs in situ depending on the condition. Addition
8 of a small amount of acid and water to a resultant gel
9 induces its collapse due to returning to the corresponding
10 amines and aldehydes. No such a gelation was observed
11 when combining benzaldehyde or naphthaldehyde.

12 **Keywords:** Organogelator, dynamic covalent bond,
13 **Imine bond**

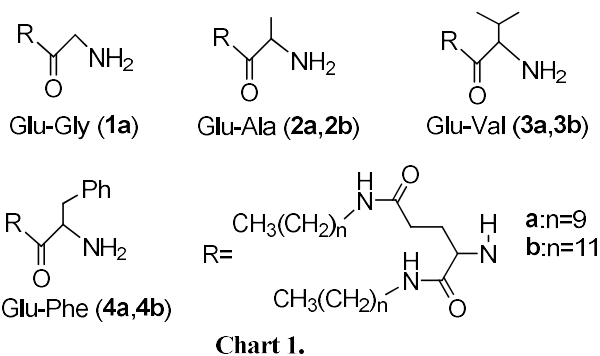
14 Over the last years the area of the low-molecular-
15 weight gelators (LMWGs) has been extensively investigated
16 because of their promising various technological
17 applications as well as fundamental scientific interests.¹ In
18 general the process of gelation is considered to involve self-
19 assembly of LMWGs triggered by non-covalent interactions
20 such as van der Waals forces, hydrogen bonding, π - π
21 interaction, metal-ligand coordination, hydrophobic effects
22 and charge-transfer (CT) interaction, followed by formation
23 of three-dimensional cross-linked networks that trap a large
24 amount of solvents. In this context we have designed the
25 aromatic LMWGs to develop novel functions.²

26 Compared to dynamic non-covalent interactions as a
27 tool to control the sol-gel transition, dynamic covalent
28 bonds applied for gels have been limited despite of the fact
29 that networks through dynamic covalent bonds are more
30 stable than the corresponding non-covalent cross-linking
31 ones. Dynamic covalent bonds are characterized by
32 reversible reactions of making and breaking bonds under
33 relatively mild conditions.³ Some of the most commonly
34 used dynamic covalent bonds in the gelatinous system
35 encompass boronate ester bonds,⁴ imine bonds,⁵
36 acylhydrazone bonds⁶, disulfide bonds⁷ and so on. The most
37 relevant strategies involve polymer networks crosslinked by
38 dynamic covalent bonds.⁸ On the contrary, to our best
39 knowledge, a few studies on dynamic covalent bonds
40 applied for discrete gelators have reported. For example
41 guanosine hydrazide-based supramolecular hydrogels
42 employing reversible hydrazine bonds have been reported.⁹
43 Disulfide dynamic chemistry has been applied for cyclic
44 peptide-derived hydrogels by formation of the disulfide
45 bond¹⁰ and for peptide gelator by cleavage of the disulfide
46 bond.¹¹ The reaction of the trishydrazide and the aldehyde in
47 situ afforded an acylhydrazone gelator.¹² Although an imine
48 bond as the dynamic covalent bond has also been utilized to
49 construct discrete gelators, in most cases it is applied to the
50 cholesterol-appended aromatic gelators.^{13,14}

51 Regarding design of various gelators it has been
52 suggested that combination of a glutamate-based structure
53 (L) and an aromatic group enhances the ability of gelation.
54 From this point of view it has occurred to my mind that the
55 imine bond is utilized to connect these versatile units in the
56 aim of creation of the gel in situ based on a dynamic
57 covalent bond.

58 Thus, here we report the gel production in situ in terms
59 of formation of the imine bond between two components by
60 mixing them up.

61 We have chosen the L-glutamate skeleton as the core
62 segment of organogelators because it is known to be
63 effective for intermolecular hydrogen bonding.^{15,16} We
64 employed four kinds of L-glutamate moieties (Glu-Gly,
65 Glu-Ala, Glu-Val, Glu-Phe) to which two long alkyl chains
66 were introduced (Chart 1).
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70 At first the gelation behaviors of the glutamate-based
71 compounds **1a–4a** were examined in some solvents (Table
72 1).
73

Table 1 Gelation properties of glutamate-based compounds (**1a–4a**) in some solvents^a

| | 1a | 2a | 3a | 4a |
|-------------------------------|------------|-----------|------------|-----------|
| <i>n</i> -Hexane, Cyclohexane | PG | G(1.0) | G(0.8-1.0) | G(3.0) |
| Benzene, Toluene | G(0.8-1.0) | G(0.6) | PG | PG |
| EtOH, MeOH | S | S | S | S |
| 1-Propanol, DMF | S | S | S | S |

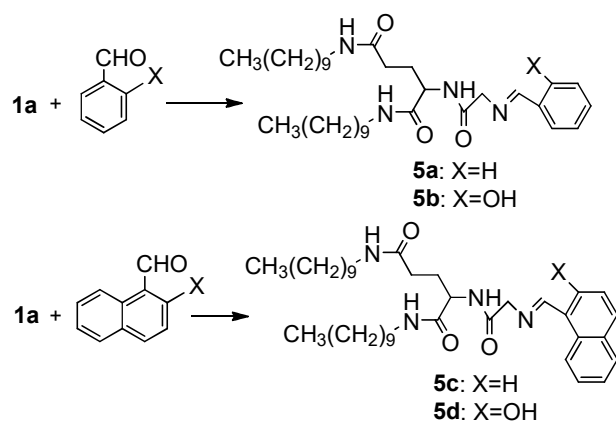
^aG:gel, PG:partial gel, S:soluble.

The values given in parentheses are the minimum concentration (wt %) (CGC) to achieve gelation.

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76 When the solvent is partially gelled, it is indicated
77 by 'PG' meaning a partial gel. Although all compounds
78 exhibit tendency to gelate hexanes and aromatic solvents,
79 they show good solubilities in alcohols examined here

1 resulting in no gelation. It is commonly accepted that the
 2 aromatic component and the hydroxy group in the molecular
 3 structure could induce its ability to gelate organic solvents.¹⁷
 4 It can be envisioned that the imine products from **1a–4a** and
 5 aromatic aldehydes might gelate alcohols. Thus,
 6 combinations of **1a** and four kinds of aldehydes
 7 (benzaldehyde, salicylaldehyde, naphthaldehyde, 2-
 8 hydroxy-1-naphthaldehyde) were chosen to prepare the
 9 imine products (**5a–d**) in order to explore this possibility as
 10 shown in Scheme 1.

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Scheme 1. Preparation of imine compounds (**5a–d**).

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14 The capability of **5a–d** to form organogel was first
 15 investigated in some solvents as summarized in Table 2.

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Table 2 Gelation properties of imine compounds (**5a–d**) in aromatic solvents, alcohols and DMF^a

| | 5a | 5b | 5c | 5d |
|------------------|------------|------------|-----------|------------|
| Benzene, Toluene | G(1.0–2.0) | G(0.1–0.2) | G(0.8) | G(0.1–0.4) |
| EtOH, MeOH | P | G(2.0–3.0) | P | P |
| 1-Propanol | P | S | P | S |
| DMF | S | G(7.0) | P | PG |

^aG:gel, PG:partial gel, S:soluble, P:precipitate.

The values given in parentheses are the minimum concentration (wt %) (CGC) to achieve gelation.

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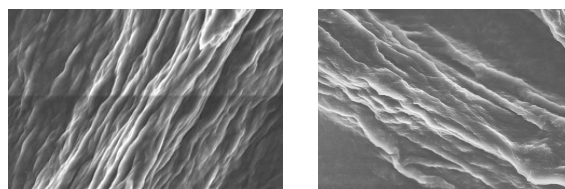
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As expected from the result of the precursor **1a**, and
5a–d exhibit gelation in benzene and toluene.



(a) toluene — 1 μm (b) EtOH — 1 μm

Figure 1. SEM images of gels from **5b** in toluene (a) and EtOH (b).

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It has been also found out that **5b** can gelate EtOH,
 MeOH and DMF. The SEM images of the gels from **5b** in

25 toluene and EtOH are shown in Figure 1. A developed
 26 network of fibers is observed for both gels.

27

Here the formation of gel in situ can be defined as the
 28 follows: as the gelator, i.e. the imine compound is produced
 29 little by little, gelation of solvent gradually progresses and
 30 finally completes with stable gel. In order to realize this
 31 gelation process effectively the most suitable condition
 32 using **1a** and salicylaldehyde in EtOH has been carefully
 33 examined. Considering the minimum concentration to gelate
 34 EtOH by the product **5b**, solutions of three different
 35 concentrations of **1a** (100 mg, 200 mg, 300 mg) in 5 mL of
 36 EtOH were prepared and their appearances in terms of
 37 gelation were observed by addition of 1.2 mol equivalent of
 38 salicylaldehyde. In the case of the solution of **1a** (100 mg,
 39 0.2 mmol) no change was observed even after completion of
 40 addition of salicylaldehyde followed by stirring for 30 min.
 41 On the other hand when the solution of **1a** (200 mg, 0.4
 42 mmol) was employed, partial gelation was only seen in the
 43 course of addition of salicylaldehyde. Thorough gelation of
 44 EtOH accompanied with no spinning of a stirring bar was
 45 confirmed for the solution of **1a** (300 mg, 0.6 mmol) in 3
 46 min. In 2 min the reaction mixture started to gelate. The
 47 pictures in time course of this reaction are shown in Figure 2.

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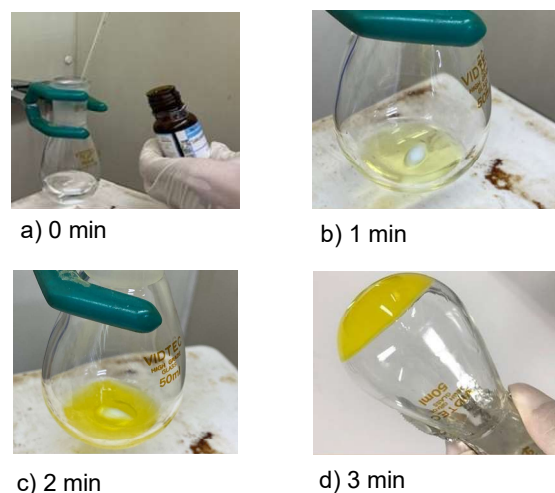


Figure 2. Time course of gel formation in situ from **1a** and salicylaldehyde in EtOH.

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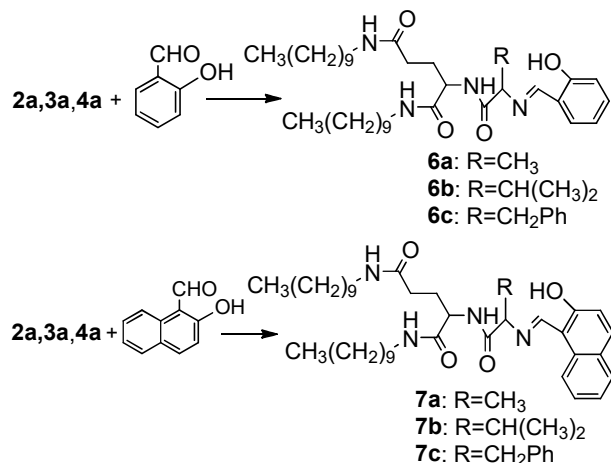
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These results strongly suggest that EtOH can be
 52 gelated by the imine product **5b** as its concentration
 53 increases. Neither of the components can gelate the solvent
 54 alone but in just mixing them at room temperature to form
 55 the imine compound a gel is yielded. It should be
 56 emphasized that this system is very simple and unique
 57 because the reaction between two components proceeds
 58 smoothly at room temperature by just mixing them up
 59 without any catalysts to give the gelator. The similar
 60 condition has applied to the reaction between **1a** and
 61 benzaldehyde, naphthaldehyde, 2-hydroxy-1-
 62 naphthaldehyde, to produce **5a**, **5c**, **5d**, respectively. These
 63 reaction products predictably gave a precipitate, not a gel in

1 EtOH. Furthermore, gelation of DMF in situ was observed
2 for the reaction of **1a** and 2-hydroxy-1-naphthaldehyde.

3 Taking this result into account a hydroxy group in the
4 compound is playing an important role for its ability for
5 gelation. Thus, we have prepared the imine compounds
6 **6a–c** and **7a–c** from **2a**, **3a** and **4a**, respectively, as shown
7 in Scheme 2.
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9 **Scheme 2.** Preparation of imine compounds (**6a–c**, **7a–c**).

10 Gelation properties of the imine compounds **6** and **7** in
11 alcohols and DMF have been examined as summarized in
12 Table 3.
13 Table 3.
14

Table 3 Gelation properties of imine compounds (**6,7**) in
alcohols and DMF^a

| | 6a | 6b | 6c | 7a | 7b | 7c |
|------------|-----------|-----------|-----------|-----------|-----------|-----------|
| EtOH | I | P | P | P | G(3.0) | G(2.0) |
| 1-Propanol | I | P | G(2.0) | S | S | G(3.0) |
| DMF | S | G(1.0) | G(3.0) | S | S | G(4.0) |

^aG:gel, I:insoluble, S:soluble, P:precipitate.

The values given in parentheses are the minimum concentration
(wt %) (CGC) to achieve gelation.

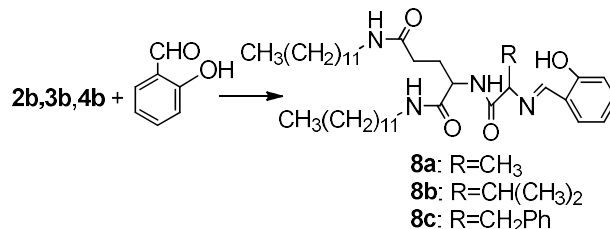
15 In terms of gelation properties depending on the
16 structure of the amino acid residue some interesting
17 characteristics have been indicated. Although EtOH can be
18 gelated by **5b** (Glu-Gly), no EtOH gelation can be observed
19 for **6a** (Glu-Ala), **6b** (Glu-Val) and **6c** (Glu-Phe). These
20 results suggest that the alkyl groups (R) might contribute to
21 poor solubilities in EtOH, resulting in no gelation. On the
22 contrary gels in EtOH were produced by the corresponding
23 naphthyl compounds **7b** and **7c**, meaning that some π - π
24 interactions work effectively for building a fiber structure
25 from the individual molecules. Especially, the compound **7c**
26 having a phenyl group in addition to a naphthyl group
27 shows an excellent ability for gelating solvents as shown in
28 Table 3. Such an effect was confirmed for **6c**, because it
29 exhibits gelation in 1-propanol and DMF.

30 Based on these gelation properties we have examined
31 gel formation in EtOH to combine 2-hydroxy-1-
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34 naphthaldehyde with **3a** and **4a**, respectively. After some
35 trials we have finally reached the condition suitable for
36 completion of gelation of EtOH in situ. In the case of **7b** it
37 is found out that gelation in situ needs a higher
38 concentration than expected from its CGC value. No
39 complete gelation was observed until the final concentration
40 of the solution reached ca. 8.0 wt %. For the gelation of
41 EtOH by **7c** it required ca. 6.0 wt %. The minimum
42 concentrations to achieve gelation of EtOH by **7b** and **7c**
43 shown in Table 2 were basically obtained by cooling down
44 the appropriate solution to room temperature after the
45 gelators were dissolved in EtOH by heating. On the contrary
46 gelation in situ is carried out at room temperature. Thus, it
47 can be considered that formation of the gel needs a higher
48 concentration. Gelation in a similar way has been confirmed
49 for the reactions of 2-hydroxy-1-naphthaldehyde with **4a** in
50 1-propanol and DMF, respectively.

51 In the course of our research on organogelators it has
52 been suggested that the length of the alkyl chains in the
53 compound plays an important role in terms of gelation
54 properties. Thus, we have designed some compounds having
55 the C12 alkyl chains. Three kinds of L-glutamate moieties
56 (Glu-Ala, Glu-Val, Clu-Phe) carrying two long alkyl chains
57 (**C12**) **2b–4b** were prepared (Chart 1). In order to know the
58 effect of the length of the alkyl chain on gelation we have
59 conducted a test similar to that as described in Table 1. No
60 major difference was confirmed between C10 and C12 in
61 the compounds, however, the compounds **3b** and **4b** exhibit
62 gelation in benzene and toluene contrast to PG by the
63 corresponding compounds **3a** and **4a**. This indicates that a
64 longer alkyl chain could enhance gelation ability in apolar
65 solvents such as benzene and toluene.

66 Syntheses of the imine compounds **8a–c** using **2b–4b**
67 and salicylaldehyde were carried out in the purpose of
68 gelation in situ (Scheme 3).
69



70 **Scheme 3.** Preparation of imine compounds (**8a–c**).

71 Before examination of gelation in situ we have
72 clarified gelation properties of **8a–c** in EtOH and 1-
73 propanol as shown in Table 4. Interestingly all products
74 exhibit gelation in EtOH. On the other hand 1-propanol can
75 be gelated by **8b** and **8c**. Obviously a longer alkyl chain
76 seems to contribute formation of gel at least in EtOH and 1-
77 propanol. Although the exact reason of this effect is not
78 clear, the interaction among alkyl chains based on van der
79 Waals forces probably works in these solvents.

80 These results imply extension of a possibility to
81 produce the gel in situ. As a matter of facts gradual
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1 formation of gel was observed in the reaction of **2b** and
2 salicylaldehyde in EtOH as the reaction proceeded because
3 the product **8a** can gelate this solvent.

Table 4 Gelation properties of imine compounds
(**8a-c**) in EtOH and 1-propanol^a

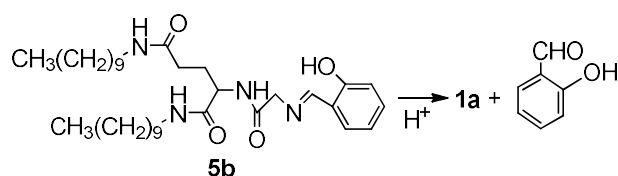
| | 8a | 8b | 8c |
|------------|-----------|-----------|-----------|
| EtOH | G(2.0) | G(1.0) | G(0.8) |
| 1-Propanol | S | G(2.0) | G(1.0) |

^aS:soluble, G:gel.

The values given in parentheses are the minimum
concentration (wt %) (CGC) to achieve gelation.

The similar formation of gel in situ was seen for the
reaction of **3b** and **4b** in EtOH and 1-propanol. Such a
gelation in situ also requires a higher concentration as
compared with that described in Table 4.

Finally we have examined possibility of collapse of the
gel in terms of characteristics of the imine bond. It is well
known the imine bond can be easily dissociated by the acid.
To the EtOH gel (3.0 wt %) by **5b** (20 mg) was added a
small amount of trifluoroacetic acid and water, then a
collapse of the gel was observed. It has confirmed that this
collapse is due to a cleavage of the imine bond resulting in
reproduction of the starting materials (Scheme 4). An
extensive research concerning such a reversibility is now
under progress.



Scheme 4. Decomposition of the imine compound (**5b**).

In summary gel formation in situ which means that the
gel is gradually formed and eventually the whole solvent is
solidified in the course of the reaction between amino
compounds and aldehydes in appropriate solvents can be
successfully achieved. Combination of two starting
materials (amines and aldehydes) and solvent is critical. It
should be noted that formation of gel by mixing up two
compounds without any additives in the solvent can be
applied to some practical usages.

This work was partly supported by JSPS KAKENHI
Grant number 15K05480.

Supporting Information for characterization of new
compounds is available on <http://dx.doi.org/10.1246/cl>.

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| Graphical Abstract | |
|--|---|
| Textual Information | |
| A brief abstract | A simple approach for creating organogelators in situ through a reversible imine bond known as a dynamic covalent bond is described. As the condensations of the glutamate-based amine compounds and salicylaldehyde or 2-hydroxy-1-naphthaldehyde in alcohols such as methanol, ethanol and propanol as well as DMF proceed, gelation occurs in situ depending on the condition. Addition of a small amount of acid and water to a resultant gel induces its collapse due to returning to the corresponding amines and aldehydes. No such a gelation was observed when combining benzaldehyde or naphthaldehyde. |
| Title | Formation of Organogel in situ Based on a Dynamic Imine Bond |
| Authors' Names | Akihiko Tsuge, * Shunpei Suehara, Yuki Takemori, Masaki Nakano, and Koji Araki |
| Graphical Information | |
| <p>Chemical reaction scheme showing the formation of an organogel in situ. The reaction involves a glutamate-based amine compound (with two $\text{CH}_3(\text{CH}_2)_n$ groups) reacting with salicylaldehyde (2-hydroxybenzaldehyde). The reaction is reversible, as indicated by the equilibrium arrows. Below the scheme, three photographs show the progression: 1. A clear liquid in a beaker with a stir bar. 2. After 2 minutes, a yellowish gel has formed. 3. After 3 minutes, the gel has become more solid and yellow. The text "Gel formation in situ" is written next to the reaction scheme.</p> | |